

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-319In Re Application Of: **Hiroyuki Okuyama et al.**

JUL 24 2003

Serial No.  
10/092,687Filing Date  
03/06/2002Examiner  
Mark V. PrentyGroup Art Unit  
2822Title: **DISPLAY UNIT AND SEMICONDUCTOR LIGHT EMITTING DEVICE**Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450RECEIVED  
JUL 28 2003  
TC 2800 MAIL ROOM**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

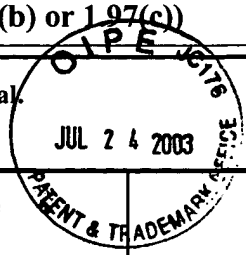
2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

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10/092,687

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03/06/2002

Examiner  
Mark V. Prenty

Group Art Unit  
2822

**DISPLAY UNIT AND SEMICONDUCTOR LIGHT EMITTING DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

**Robert J. Buccieri**

\_\_\_\_\_  
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**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: **July 21, 2003**

**Jeffrey H. Canfield (Req. No. 38,404)**  
**Bell, Boyd & Lloyd LLC**  
**P.O. Box 1135**  
**Chicago, Illinois 60690-1135**  
**Telephone: (312) 807-4233**

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
112857-319

In Re Application Of: **Hiroyuki Okuyama et al.**

JUL 24 2003

Serial No.  
10/092,687

Filing Date  
03/06/2002

Examiner  
Mark V. Prenty

Group Art Unit  
2822

Invention: **DISPLAY UNIT AND SEMICONDUCTOR LIGHT EMITTING DEVICE**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

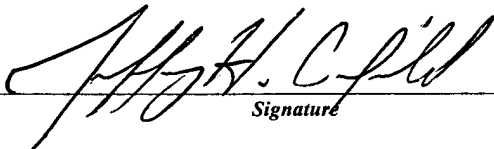
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: July 21, 2003

Jeffrey H. Canfield (Req. No. 38,404)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
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22313-1450.

Signature of Person Mailing Correspondence

**Robert J. Buccieri**

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki Okuyama et al.  
Appl. No.: 10/092,687  
Conf. No.: 3793  
Filed: March 6, 2002  
Title: DISPLAY UNIT AND SEMICONDUCTOR LIGHT EMITTING DEVICE  
Art Unit: 2822  
Examiner: Mark V. Prenty  
Docket No.: 112857-319

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,348,096	02/19/02	Kunakawa et al.
2002/0066403 A1	06/06/02	Sunakawa et al.
6,555,845 B2	04/29/03	Sunakawa et al.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
9-92881	04/04/1997	Japan
9-162444	06/20/1997	Japan

**OTHER DOCUMENTS**

Journal of Crystal Growth 189/190 (1998), "Spatial Control Of InGaN Luminescence By MOCVD Selective Epitaxy", D. Kapolnek, S. Keller, R.D. Underwood, S.P. DenBaars, U.K. Mishra, pp. 83-86

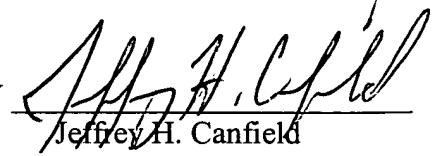
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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Dated: July 21, 2003